

# RESEARCH ADVISORY COUNCIL

## DISCLOSURE OF INVENTION OR COPYRIGHTABLE MATERIAL FORM

ORA #: \_\_\_\_\_ Date: \_\_\_\_\_

1 **Type of Material:** \_\_\_\_\_ Invention: \_\_\_\_\_ Copyright: \_\_\_\_\_

2 **Title of Invention of Copyright:** \_\_\_\_\_

3

Inventor(s)	Full name	Department (or other Institution)	Approximate Contribution
Principal:	_____	_____	_____ %
Associates:	_____	_____	_____ %
	_____	_____	_____ %
	_____	_____	_____ %

Other Institution(s) Address: \_\_\_\_\_ Tel: \_\_\_\_\_

4 **Identify sources and estimate % of support (materials, facilities, salaries) contributing to the development.**

KFSH&RC Funds \_\_\_\_\_ %

KACST Funds \_\_\_\_\_ %

Other Institution(s) \_\_\_\_\_ %

Name(s) \_\_\_\_\_ %

Other sources \_\_\_\_\_ %

5 **If developed with KACST Funds.**

Has invention been reported to KACST?  Yes  No

Report date: \_\_\_\_\_

KACST Grant #: \_\_\_\_\_

Has notification been made to KACST for retention of rights to invention?  Yes  No

Please attach copies of correspondence with KACST related to disclosure of invention or rights to invention.  attached.

6 **If developed with other funds (ie, corporate sponsor, foundation grant, etc,) has invention been reported ?**  Yes  No

If YES, Source Name: \_\_\_\_\_ Report date: \_\_\_\_\_

Please attach copies of correspondence with sponsors:  attached.

7 **Indicate location or locations where research related to the invention was performed:**

\_\_\_\_\_

8 **Briefly outline your views regarding potential commercial application:**

(use continuation page if necessary)

\_\_\_\_\_

A List potential licensees or manufacturers or companies active in this area:

\_\_\_\_\_

B What is the potential market?

\_\_\_\_\_

C Estimate commercial market in dollars:

\_\_\_\_\_

9 **List companies with whom you have discussed this project and append copies showing dates, of all correspondence relating to their interest.**  attached.

\_\_\_\_\_

If you have communicated via telephone, with any additional companies, please list the company names, giving dates, and append a brief summary of your conversations.  attached.

\_\_\_\_\_

**NOTE:** Valuable rights to inventions may be lost if disclosed to outside parties unless signed confidentiality agreement is obtained.

10 **Has invention or components thereof been described in a draft of an article or lecture?**  Yes  No

If YES, attach copies of drafts of abstracts, manuscripts, or reprints, and give proposed presentation and/or publication dates.  attached.

**NOTE:** Premature disclosure of invention in lectures, articles, etc, may result in loss of all right to obtain patent.

**11 List independent referees with expertise in the area of invention with whom we may communicate for additional information with your approval.**

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**12 Please append a full description of the invention which should include:**  attached.

- A** Drawings illustrating the invention (if applicable).
- B** Chemical structural form (if the invention is a new chemical compound).
- C** List of equivalents which can be substituted for the invention, or for components of the invention.
- D** Reprints of articles or patents describing inventions, methods, etc, similar to the one described in this disclosure.
- E** What is novel about the invention? What is useful about it? How does it differ from inventions or discoveries, if any, made by others to provide the same or comparable results?)
- F** List descriptive words or phrases which describe the invention (to assist in prior art search).

**13 Additional Information:**

**1 Earliest verifiable date of conception, and where conceived:**

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**2 Date of first oral disclosure:**

a) Forms of disclosure

b) Witnessed by:

**3 Date and place of first sketch, drawing, or photo:**

That document is now located at:

**4 Date of first written disclosure:**

a) Forms of disclosure (thesis, journal article, etc):

b) Witnessed by:

c) That document is now located at:

**5 Date of first successful reduction to practice:**

a) Where made

b) Witnessed by:

c) That document is now located at:

**14 Did this invention involve the possible use of recombinant DNA technology or the use of human subjects? If YES, what is the RAC number?** \_\_\_\_\_

Principal Inventor: \_\_\_\_\_ Date: \_\_\_\_\_

Associate Inventor(s) \_\_\_\_\_ Date: \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Department Chairman \_\_\_\_\_ Date: \_\_\_\_\_  
of Principal Inventor:

Chairman's comments, (if any):

\_\_\_\_\_  
\_\_\_\_\_

## **DUTY OF COMPLETE DISCLOSURE TO US GOVERNMENT**

You are required to tell *everything*, good and bad, that is relevant to your invention.

Want to hold back the best way of practicing the invention? *Wrong!* The best way you presently know must be disclosed or the patent can be invalidated.

Want to hold back information on the prior work of others (or even yourself) to make your invention appear more patentable? *Wrong!* There is an affirmative “Duty of Disclosure” set forth in the Federal rules. You have no duty to make a literature search, but what you know now or learn before the patent issues must be disclosed to the Government.

### **I Scope of Duty.**

Under US Law, it is essential that all prior art and other information material to the examination of an application for patent be brought to the attention of the US Patent and Trademark Office (PTO). This duty of candour and good faith rests on the inventor, on each attorney or agent who prepares or prosecutes the application, and on every other individual who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee. Or with anyone to whom there is an obligation to assign the application. (37 CFR Section 1.56)

### **II What Must be Disclosed Prior Art**